

# CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL

(Only for continuing applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600  
concerning utility  
patent application contents.

Attorney Docket No.  
1293.1059CIP2D6

First Named Inventor:  
Jung-wan KO, et al.

Title: APPARATUS FOR RECORDING AND/OR PLAYING

BACK CATALOG INFORMATION

Express Mail Label No.

ADDRESS TO:  
Commissioner for Patents  
Box Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

1.  Fee Transmittal Form  
2.  Specification, Claims & Abstract..... [Total Pages: 15]  
3.  Drawing(s) (35 USC 113)..... [Total Sheets: 4] [FIGS. 1-4]  
4.  Oath or Declaration..... [Total Pages: 21]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR 1.63(d))

5.  This application is filed by fewer than all the inventors named in the prior nonprovisional application.  
a.  DELETE the following inventor(s) named in the prior nonprovisional application:  
b.  The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

6.  Verified Statement Claiming Small Entity Status

7.  Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

8.  Applicant claims foreign priority benefit to: Korean Application No. 98-7525 filed March 6, 1998, in the Korean Patent Office

9.  Application Data Sheet. See 37 C.F.R. 1.76

10.  CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

11.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a.  Computer Readable Form (CRF)  
b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper

c.  Statement verifying identity of above copies

12.  Assignment to Samsung Electronics Co., Ltd of Suwon-City, Korea at Reel 011507, Frame 0911  
 for publication of assignee information under 37 CFR 1.215(b)

## ACCOMPANYING APPLICATION PARTS

13.  37 CFR 3.73(b) Statement (when there is an assignee)  
14.  English Translation Document (if applicable)  
15.  Foreign priority benefit under 35 U.S.C. §119 is claimed.

a.  Certified Copy of Priority Document(s) filed in prior application No. 09/263,816.  
b.  Certified Copy of Priority Document(s) enclosed.  
c.  Certified Copy of Priority Document(s) to follow.

16.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

17.  Preliminary Amendment

a.  enclosed herewith.  
b.  incorporated herein (see Box 21).

18.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

19.  Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent

20.  Other:

Power of Attorney

17354 U.S. PTO  
10/625735  
07/24/03

**21. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09/583,876.  
Prior application information: Examiner: Po Lin Chieu  
Group/Art Unit: 2615

**REMARKS**

In accordance with the foregoing, the specification has been amended to reference the parent application and to comply with new rules regarding preferred format of the application. Original claims 1 through 27 from the parent application have been cancelled without prejudice or disclaimer, and new claims 28 through 48 have been newly added and renumbered as claims 1 through 21 for the purposes of publication. Moreover, the specification has been amended at paragraph 0024 to correct a typographical error consistent with the correction made in the Preliminary Amendment filed in U.S. Patent Application No. 09/583,876 on May 23, 2003. No new matter is presented.

**22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171**

21171

**23. SIGNATURE OF ATTORNEY OR AGENT**

NAME	James G. McEwen	REGISTRATION NO.	41,983
SIGNATURE		DATE	July 24, 2003

NEW APPLICATION FEE TRANSMITTAL		Attorney Docket No.	1293.1059CIP2D6	
		Application Number	To be assigned	
		Filing Date	July 24, 2003	
AMOUNT ENCLOSED	\$ 768.00	First Named Inventor	Jung-wan KO, et al.	

**FEE CALCULATION** (fees effective 10/01/01)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	21 - 20 =	1	X \$ 18.00 =	\$ 18.00
	INDEPENDENT CLAIMS	2 - 3 =	0	X \$ 84.00 =	0.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$280.00 =	
				BASIC FILING FEE	750.00
				Total of above Calculations =	\$ 768.00
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)				
	Reduction by 50% for filing by small entity (37 CFR 1.27).				
				TOTAL FILING FEE =	\$ 768.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))				
				TOTAL FEES DUE =	\$ 768.00

**METHOD OF PAYMENT**

- Check enclosed as payment.
- Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

**GENERAL AUTHORIZATION**

- If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No. 19-3935

Deposit Account Name STAAS & HALSEY LLP

- The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	James G. McEwen	Reg. No.	41,983
Signature		Date	July 24, 2003

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